

# METHOD AND SYSTEM FOR DISPATCHING SOCKS TRAFFIC

## BACKGROUND OF THE INVENTION

### 1. Technical Field:

The present invention relates to computer networks, and more particularly to a method and system in an IP network for optimally dispatching IP datagrams comprising socks traffic among a plurality of Socks Servers.

### 2. Description of the Related Art:

#### Internet

The Internet is a global network of computers and computers networks (the "Net"). The Internet connects computers that use a variety of different operating systems or languages, including UNIX, DOS, Windows, Macintosh, and others. To facilitate and allow the communication among these various systems and languages, the Internet uses a language referred to as TCP/IP ("Transmission Control Protocol/Internet Protocol"). TCP/IP protocol supports three basic applications on the Internet:

- transmitting and receiving electronic mail,
- logging into remote computers (the "Telnet"), and
- transferring files and programs from one computer to another ("FTP" or "File Transfer Protocol").

#### TCP/IP

The TCP/IP protocol suite is named for two of the most important protocols:

- a Transmission Control Protocol (TCP), and

- an Internet Protocol (IP).

Another name for it is the Internet Protocol Suite. The more common term TCP/IP is used to refer to the entire protocol suite. The first design goal of TCP/IP is to build an interconnection of networks that provide universal communication services: an *internetwork*, or *internet*. Each physical network has its own technology dependent communication interface, in the form of a programming interface that provides basic communication functions running between the physical network and the user applications. The architecture of the physical networks is hidden from the user. The second goal of TCP/IP is to interconnect different physical networks to form what appears to the user to be one large network.

TCP is a transport layer protocol providing end to end data transfer. It is responsible for providing a reliable exchange of information between 2 computer systems. Multiple applications can be supported simultaneously over one TCP connection between two computer systems.

Sub #1 > IP is an internetwork layer protocol hiding the physical network architecture bellow it. Part of the communicating messages between computers is a routing function that ensures that messages will be correctly directed within the network to be delivered to their destination. IP provides this routing function. An IP message is called an IP Datagram.

Application Level protocols are used on top of TCP/IP to transfer user and application data from one origin computer system to one destination computer

system. Such Application Level protocols are for instance File Transfer Protocol (FTP), Telnet, Gopher, Hyper Text Transfer Protocol (HTTP).

## IP Router

A router interconnects networks at the internetwork layer level (IP) and routes messages between them. Routers are able to select the best transmission path between networks. The basic routing function is implemented in the IP layer of the TCP/IP protocol stack, so any host (or computer) or workstation running TCP/IP over more than one interface could, in theory, forward the messages between networks. However, dedicated network hardware devices called "Routers" provide more sophisticated routing functions than the minimum functions implemented in IP. Because IP implements the basic routing functions, the term "IP Router" is often used.

## World Wide Web

With the increasing size and complexity of the Internet, tools have been developed to help find information on the network, often called navigators or navigation systems. Navigation systems that have been developed include standards such as Archie, Gopher and WAIS. The World Wide Web ("WWW" or "the Web") is a recent superior navigation system. The Web is:

- an Internet-based navigation system,
- an information distribution and management system for the Internet, and
- a dynamic format for communicating on the Web.

The Web seamlessly, for the use, integrates format of information, including still images, text, audio and

video. A user on the Web using a graphical user interface ("GUI", pronounced "gooey") may transparently communicate with different host computers on the system, and different system applications (including FTP and Telnet), and different information formats for files and documents including, for example, text, sound and graphics.

### **Hypermedia**

The Web uses hypertext and hypermedia. Hypertext is a subset of hypermedia and refers to computer-based "documents" in which readers move from one place to another in a document, or to another document, in a non-linear manner. To do this, the Web uses a client-server architecture. The Web servers enable the user to access hypertext and hypermedia information through the Web and the user's computer. (The user's computer is referred to as a client computer of the Web Server computers.) The clients send requests to the Web Servers, which react, search and respond. The Web allows client application software to request and receive hypermedia documents (including formatted text, audio, video and graphics) with hypertext link capabilities to other hypermedia documents, from a Web file server.

The Web, then, can be viewed as a collection of document files residing on Web host computers that are interconnected by hyperlinks using networking protocols, forming a virtual "web" that spans the Internet.

### **Uniform Resource Locators**

A resource of the Internet is unambiguously identified by a Uniform Resource Locator (URL), which is a pointer to a particular resource at a particular location. A URL specifies the protocol used to access a

server (e.g. HTTP, FTP,...), the name of the server, and the location of a file on that server.

### Hyper Text Transfer Protocol

Each Web page that appears on client monitors of the Web may appear as a complex document that integrates, for example, text, images, sounds and animation. Each such page may also contain hyperlinks to other Web documents so that a user at a client computer using a mouse may click on icons and may activate hyperlink jumps to a new page (which is a graphical representation of another document file) on the same or a different Web server.

A Web server is a software program on a Web host computer that answers requests from Web clients, typically over the Internet. All Web use a language or protocol to communicate with Web clients which is called Hyper Text Transfer Protocol ("HTTP"). All types of data can be exchanged among Web servers and clients using this protocol, including Hyper Text Markup Language ("HTML"), graphics, sound and video. HTML describes the layout, contents and hyperlinks of the documents and pages. Web clients when browsing:

- convert user specified commands into HTTP GET requests,
- connect to the appropriate Web server to get information, and
- wait for a response. The response from the server can be the requested document or an error message.

After the document or an error message is returned, the connection between the Web client and the Web server

is closed.

5 First version of HTTP is a stateless protocol. That  
is with HTTP, there is no continuous connection between  
each client and each server. The Web client using HTTP  
receives a response as HTML data or other data. This  
description applies to version 1.0 of HTTP protocol,  
while the new version 1.1 break this barrier of stateless  
protocol by keeping the connection between the server and  
10 client alive under certain conditions.

### Browser

15 After receipt, the Web client formats and presents  
the data or activates an ancillary application such a  
sound player to present the data. To do this, the server  
or the client determines the various types of data  
received. The Web Client is also referred to as the Web  
Browser, since it in fact browses documents retrieved  
from the Web Server.

### Domain Names

20 The host or computers names (like  
www.entreprise.com) are translated into numeric Internet  
addresses (like 194.56.78.3), and vice versa, by using a  
method called DNS ("Domain Name Service"). DNS is  
25 supported by network-resident servers, also known as  
domain name servers or DNS servers.

### Intranet

30 Some companies use the same mechanism as the Web to  
communicate inside their own corporation. In this case,  
this mechanism is called an "Intranet". These companies  
use the same networking/transport protocols and locally  
based Web servers to provide access to vast amount of

corporate information in a cohesive fashion. As this data may be private to the corporation, and because the members of the company still need to have access to public Web information, to avoid that people not belonging to the company can access to this private Intranet coming from the public Internet, they protect the access to their network by using a special equipment called a Firewall.

## Firewall

A Firewall protects one or more computers with Internet connections from access by external computers connected to the Internet. A Firewall is a network configuration, usually created by hardware and software, that forms a boundary between networked computers within the Firewall from those outside the Firewall. The computers within the Firewall form a secure sub-network with internal access capabilities and shared resources not available from the outside computers.

Often, a single machine, on which the Firewall is, allows access to both internal and external computers. Since the computer, on which the Firewall is, directly interacts with the Internet, strict security measures against unwanted access from external computers are required.

A Firewall is commonly used to protect information such as electronic mail and data files within a physical building or organization site. A Firewall reduces the risk of intrusion by unauthorized people from the Internet, however, the same security measures can limit or require special software for those inside the Firewall who wish to access information on the outside. A Firewall

can be configured using "Proxies" or "Socks" to designate access to information from each side of the Firewall.

### **Proxy Server**

5           A HTTP Proxy is a special server that typically runs in conjunction with Firewall software and allows an access to the Internet from within a Firewall. The Proxy Server:

- 10           -     waits for a request (for example a HTTP request) from inside the Firewall,
- forwards the request to the remote server outside the Firewall,
- reads the response, and
- 15           -     sends the response back to the client.

20           A single computer can run multiple servers, each server connection identified with a port number. A Proxy Server, like an HTTP Server or a FTP Server, occupies a port. Typically, a connection uses standardized port numbers for each protocol (for example, HTTP = 80 and FTP = 21). That is why an end user has to select a specific port number for each defined Proxy Server. Web Browsers usually let the end user set the host name and port number of the Proxy Servers in a customizable panel.

25           Protocols such as HTTP, FTP, Gopher, WAIS, and Security can usually have designated Proxies. Proxies are generally preferred over Socks for their ability to perform caching, high-level logging, and access control,

30           because they provide a specific connection for each network service protocol.

### **Socks and Socks Server**

Socks is a protocol which does some form of



encapsulation of Application Level protocols (for instance FTP, Telnet, Gopher, HTTP). Using Socks, the Application Level traffic between a system running a Socks Client software and a system running a Socks Server software is encapsulated in a virtual Socks tunnel between both systems. Socks is mainly used by systems within an Intranet in order to gain a secure access to systems located outside the Intranet.

A Socks Server acts as a relay between the systems within the Intranet and the systems outside the Intranet, thus hiding the internal systems from the external Internet. It is considered as one form of Firewall.

A Socks Server (also called Socks Gateway) is a software that allows computers inside a Firewall to gain access to the Internet. A Socks Server is usually installed on a server positioned either inside or on the Firewall. Computers within the Firewall access the Socks Server as Socks Clients to reach the Internet. Web Browsers usually let the end user set the host name and port number of the Socks Servers in a customizable panel. On some Operating Systems, the Socks Server is specified in a separate file (e.g. socks.conf file). As the Socks Server acts a layer underneath the protocols (HTTP, FTP, ..), it cannot cache data (as Proxy does), because it doesn't decode the protocol to know what kind of data it transfers.

### **Dispatcher System**

When multiple Firewalls are used to gain access to systems outside the Intranet, a dedicated device called "Dispatcher System" is often used within the Intranet for dispatching the traffic to these multiple Firewalls. The

main goal of the Dispatcher System is to balance the load across the multiple Firewalls. For instance when a very powerful Firewall and a smaller Firewall are available, more traffic should be dispatched on the very powerful Firewall than on the smaller one. Such Dispatcher Systems are either dedicated hardware devices, or software components installed on existing network device (such as an IP Router).

More explanations about the technical field presented in the above sections can be found in the following publications incorporated herewith by reference:

- "TCP/IP Tutorial and Technical Overview" by Martin W. Murhammer, Orcun Atakan, Stefan Bretz, Larry R. Pugh, Kazunari Suzuki, David H. Wood, International Technical Support Organization, October 1998, GG24-3376-05.
- "Java Network Programming" by Elliotte Rusty Harold, published by O'Reilly, February 1997.
- "Internet in a Nutshell" by Valerie Quercia, published by O'Reilly, October 1997.
- "Building Internet Firewalls" by Brent Chapman and Elizabeth Zwichky, published by O'Reilly, September 1995.

### **Problem**

The problem to solve is to provide an optimized system and method for dispatching Socks traffic within a TCP/IP network. By nature, the Socks protocol is a form

of encapsulation of Application Level traffic such as HTTP, FTP, Telnet. When Socks Servers are used within an Intranet to provide secure access to systems located outside the Intranet, IP routers and network devices within this Intranet only see and handle Socks traffic. As a consequence, all Application Level protocols encapsulated by Socks are treated alike within the TCP/IP network.

When multiple Socks Servers are used within the Intranet to access systems outside the Intranet, a dedicated device called "Dispatcher System" is often used for dispatching the traffic on these multiple Socks Servers. The purpose of such Dispatcher System is mainly to balance the load across the multiple Socks Servers. For instance when a very powerful Socks Server and a smaller Socks Servers are available, more traffic can be dispatched on the very powerful Socks Server than on the smaller one.

In a Socks environment, the problem is that Dispatcher System usually only sees and process Socks traffic and does not see the Application Level traffic which is encapsulated by Socks. As a consequence, all Application Level protocols such as HTTP, FTP, Telnet, are then treated alike by the Dispatcher System. There is no differentiation between the Application Level connections. For instance, an interactive Telnet traffic is processed with the same priority than a batch FTP traffic. The Telnet traffic should be dispatched on a very powerful Socks Server while the FTP traffic should be dispatched on a smaller Socks Server.

The current solutions address this problem partially:

- The dispatching of Socks traffic can be done based on the IP address of the origin computer system or/and based on the IP address of the destination computer system. The main drawbacks of this solution are:

- For a given origin or destination system, Socks traffic is always processed the same way. IP Routers and Dispatcher Systems only see always the same Socks traffic without differentiating the Application Level protocols. For instance, an interactive Telnet connection and a batch FTP connection from the same origin will be handled with the same priority. Thus, the high priority interactive Telnet connection may be slowed down by the low priority batch connection.

- All Socks Servers handle indifferently all Application Level protocols since a Socks Server cannot be selected by the Dispatcher System according to the Application Level protocol criteria. For instance, it is not possible to have a high capacity Socks Server handling all Application Level protocols and another Socks Server with lower capacity only handling FTP traffic because the Dispatcher System has not the knowledge of the Application Level protocol (HTTP, FTP,...) encapsulated in the Socks traffic.

- The dispatching of Socks traffic can be done

according to the Type Of Service (TOS) field comprised in the IP Datagram Header. The main drawbacks of this solution are:

- The TOS field must be set in the Datagrams that the Dispatcher System receives:
  - either by the origin workstation system (the system which creates the IP Datagram with the TOS field),
  - or by some network devices within the Intranet (for instance an IP Router which has the possibility of altering the TOS field of the IP Datagram it routes).
- In an Intranet where the TOS field cannot be set nor used, the dispatching of Socks traffic cannot be based on the value of the TOS field.
- The TOS field of IP Datagrams set by some workstations or devices within the Intranet may be used for other purposes and may not reflect the actual priority and Application Level protocol of the IP Datagrams.

### 3. Objects of the Invention:

An object of the present invention is to dispatch Socks traffic among a plurality of Socks Servers according to some Application Level protocol and priority criteria.

It is a further object of the present invention to optimize the performance of WEB access via Socks Servers,



## SUMMARY OF THE INVENTION

The present invention relates to a method and system for dispatching on a socks server an IP datagram originated from an application on a source device, in an Internet Protocol (IP) network comprising a plurality of socks servers , said IP datagram comprising a Source IP Address field in the IP header, a Source Port field in the Transmission Control Protocol (TCP) header, and socks data. The method comprises, in a socks dispatcher, the steps of:

- identifying the source device, said step comprising the further step of:
  - retrieving source address in the Source IP Address field,
- identifying the application on the source device, said step comprising the further step of:
  - retrieving the application address in the Source Port field,
- determining the application level protocol of socks data referring to a first table, the first table comprising for each socks connection identified by a source address and an application address, an application level protocol.
- selecting a socks server referring to a second table, the second table defining for each application level protocol one or a plurality of socks servers.

The step of selecting a socks server referring to a second table, comprises the further steps of:

- determining the number of socks servers in the second table defined for the application level protocol of the IP datagram:
  - if only one socks server is defined in the second table, forwarding the IP datagram to said socks server,
  - if more than one socks server is defined in the second table, forwarding the IP datagram to a socks server selected according to its capacity and the priority of the IP datagram.



## BRIEF DESCRIPTION OF THE DRAWINGS

The novel and inventive features believed characteristics of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative detailed embodiment when read in conjunction with the accompanying drawings, wherein:

**Figure 1** is a logical view of an end user system accessing the World Wide Web, according to prior art.

**Figure 2** is a general view of an end user system accessing the World Wide Web according to prior art.

**Figure 3** shows an IP Datagram according to prior art.

**Figure 4** is a general view of an end user system accessing the World Wide Web through Socks Servers according to prior art.

**Figure 5** shows a system for dispatching Socks traffic according to the present invention.

**Figure 6** shows the internal tables used by the Socks ALP Dispatcher according to the present invention.

**Figure 7** is a flow chart of the Socks ALP Traffic Analyzer in the Dispatcher System According to the present invention.

**Figure 8** is a flow chart of the Socks Priority Finder in the Dispatcher System according to the present invention.

**Figure 10** is a flow chart of the Socks Server ALP Selection in the Dispatcher System according to the present invention.

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PREFERRED EMBODIMENT OF THE INVENTION  
ACCESS TO THE WORLD WIDE WEB

**Logical View**

5           **Figure 1** shows a user system with a user interface  
(102) comprising a Web Browser (101) for accessing the  
World-Wide-Web (WWW). The WWW content is transferred  
using the HTTP protocol. HTTP requests and responses are  
exchanged between the Web Browser program (101) and a  
10           destination Web Server (103) containing the WWW  
information the user wants to access. The Socks Server  
(104) between the Web Browser (101) and the Web Server  
(103) acts as an intermediary HTTP Relay forwarding the  
HTTP requests and responses to their destination. The Web  
15           Browser program (101) makes an HTTP request to the Socks  
Server (104) and the Socks Server forwards the request to  
the destination Web Server (103). The flow in the reverse  
direction (HTTP response) again goes via the Socks Server  
(104) to the Web Browser (101). In this way the Socks  
20           Server can limit the traffic to authorised transactions  
according to its configuration (based on some defined  
security and access control policy). The Socks Server  
hence protects the network where Web Browser is located.

25           **Physical View**

**Figure 2** is a physical view of the set-up logically  
described in **Figure 1**. In this particular example, the  
Web Browser (201) runs on a system (workstation)  
connected to an Intranet (202) network. The Socks Servers  
30           (203) protecting the Intranet connect both the (private)  
Intranet (202) and the (public) Internet (204). the

destination Web Server (205) is also connected to the Internet. It is important to note that Socks Servers attach two networks and hence act as intermediaries for communications between said two networks. Multiple Socks Servers are often used in order to provide access robustness and load sharing.

### IP Datagram

The transfer unit of a data packet in TCP/IP is called an IP Datagram. It is made up of a header containing information for IP protocol and data that is only relevant to the higher level protocol. **Figure 3** shows the format of a IP Datagram, in the environment described in **Figures 1 and 2**:

**(301) IP Datagram.** An IP Datagram is a message exchanged between 2 computer systems across a TCP/IP network. An IP Datagram is divided in 2 parts:

- a Header, and
- Data.

**(302) IP Datagram Header.** The header comprises fields such as:

- the Type Of Service (TOS) field (310),
- the Source IP Address (the IP address of the computer (source) which sends the IP Datagram),
- the Destination IP Address (the IP address of the computer which is the destination of the IP Datagram).

The IP Header is mainly used to route the IP Datagram to its final destination.

The Type Of Service (TOS) field (310) is an indication of the quality of service requested for the IP Datagram. It can be used to provide the nature and the priority of the IP Datagram. It can be set either by the system initiating the connection (the origin), or on the fly by a network device within the network.

(303) **IP Datagram Data.** This field comprises the data sent by the originator to the destination computer system. The destination computer system processes this data. Since the TCP/IP protocol suite is organized in layers, the IP Datagram field comprises the message relevant to the higher level protocol (which is TCP in the environment related to the invention).

(304) **TCP Segment.** A TCP message is usually called TCP Segment.

(305) **TCP Header.** A TCP Header comprises fields such as the Source Port and the Destination Port which identify the application protocol (e.g. HTTP, FTP, Telnet, Socks) transported by TCP. This field is mainly used by the destination of the IP Datagram to determine which application must process the data transported by TCP.

(306) **TCP Data.** The TCP Data field comprises application data which are sent by the originator (source) to the destination computer system. The destination computer system processes the data. Since the TCP/IP protocol suite is organized in layers, the TCP Data part contains the information relevant to the higher level protocol which is the Application level protocol (such as HTTP, FTP, Telnet, Socks).

(307) **Application Level Message.** The TCP Data part of the IP Datagram contains an Application Level Message. This is for example a Socks message (for instance a "CONNECT" or a "BIND" message), a HTTP message, a FTP message, or a Telnet message. Depending on the Application level protocol, this Application Level Message can also be split in 2 parts.

(308) **Application Level Header.** The Application Level Header is the header relevant to the application protocol such as HTTP, FTP, Telnet.

(309) **Application Level Data.** This is the data part which is processed by the application responsible of handling the Application Level protocol. This is usually the data which is directly relevant to the end user (for instance, data entered by an end user on his workstation).

#### **Socks Client and Socks Server**

A Socks is a networking proxy protocol that allows client workstations to gain full access to hosts outside their local network while providing a high degree of security. **Figure 4** shows an end user workstation (401) connected to an Intranet (402). The Socks Servers (403) that protect the Intranet attach both the (private) Intranet (402) and the (public) Internet (404). The destination Web System (405) also connects the Internet (the Web System is for instance a WEB Browser, a FTP Server, or any system attached to the Internet that can be accessed from the Intranet).

The end user workstation (401) comprises a software

program called Socks Client (406) for a secure access to the World-Wide-Web (WWW) via a Socks Server. The Socks protocol is independent of the Application Level protocol, and can therefore be used for instance for HTTP, FTP, or Telnet communications.

WEB applications running on an end user workstation (such as a WEB Browser (407), an FTP Client (408), or a Telnet Client (409)) use the services of a Socks Client (406) to gain access to an outside WEB System (405). The Socks Client located on the end user workstation sends (410) IP Datagrams to a Socks Server. The Socks Client creates some form of "virtual tunnel" between workstation and Socks Server. The Socks Server authenticates the user, authorizes the request, establishes a connection (411) with the outside WEB System, and then transparently forward application data between the end user workstation and the WEB System (412).

#### **Socks Dispatcher System**

The present invention relates to a system and method for selecting the "best" Socks Server among a plurality of Socks Servers according to priority and Application Level protocol criteria to insure availability and response time to end users accessing the World Wide Web from their workstations. **Figure 5** is a view of a system for dispatching Socks traffic on Socks Servers (503) according to the present invention.

An end user workstation (501) comprising a Socks Client is connected to the Intranet (502). Multiple Socks Servers (503) are available to access the WEB System

(505) connected to the Internet (504). The Dispatcher System (506) is located within the Intranet for dispatching Socks traffic over Socks Servers (503). According to the present invention, the system and method for dispatching the Socks traffic uses the Application Level Protocol (ALP) of IP Datagrams. Therefore, the function in charge of dispatching of Socks traffic within the Dispatcher System will be named "Socks ALP Dispatcher" (507). The Socks ALP Dispatcher (507) within the Dispatcher System (506) is configured with information concerning the Socks traffic (509) and Socks Servers (510).

The IP Datagram is sent (516) by the end user workstation (501) to the Dispatcher System (506). The IP Datagram is then forwarded to the Socks ALP Dispatcher (507). The Socks ALP Dispatcher then forwards it in sequence to its multiple components:

**(511) a Socks ALP Traffic Analyzer** component analyses the IP Datagram and determines its Application Level protocol by means of a Socks ALP Connection table (611).

**(512) a Socks Priority Finder** component determines the priority of the IP Datagram, based on its Application Level protocol and based on the characteristics of the traffic described in a Socks ALP Configuration table (601).

**(513) a Socks ALP Congestion Control** component detects any congestion condition on the Dispatcher



System, and, if required, discards traffic according to some Application Level protocol and priority criteria.

(514) a Socks Server ALP Selection component selects the best Socks Server for forwarding the IP Datagram, based on the characteristic of Socks Servers described in a Socks Server ALP Configuration table (606) and based on some priority and Application Level protocol criteria.

The Socks ALP Dispatcher ultimately forward the IP Datagram to a Forwarder (515) component within the Dispatcher System. This Forwarder component forwards (517) the IP Datagram to the selected Socks Server. Current products offer an IP Forwarder or a Dispatcher component, such as the IP Routing component implemented in any IP Router or such as the TCP Dispatcher component implemented in existing Dispatcher Systems. The invention is independent of the Forwarder component and does not rely on the way the IP Datagram is handled by this Forwarder component which can then for instance use forwarding mechanisms either based on the IP address or on the MAC (Medium Access Control) address of the Socks Server.

### *Internal Tables of the Socks ALP*

~~Internal Tables of the Socks ALP Dispatcher~~

Figure 6 depicts the different tables located on the Dispatcher System. These tables are used by the various components of the Socks ALP Dispatcher for the Socks ALP traffic analysis, the priority determination, the congestion control, and the Socks Server selection.

Two configuration tables are used by the Socks ALP Dispatcher on the Dispatcher System. These two

configuration tables must be created (for instance by a Network Administrator) before starting the Socks ALP Dispatcher.

5           **(601) Socks ALP Configuration Table.** This table comprises for each Application Level Protocol, a traffic priority and an indication concerning the discard eligibility of the traffic.

10           **(606) Socks Server ALP Configuration Table.** This table comprises for each Socks Server, the capacity of the Socks Server and a list of the Application Level protocols that the Socks Server can process.

15           One table is dynamically built and used by the Socks ALP Dispatcher for internal purpose:

20           **(611) Socks ALP Connection table.** This table comprises the originator and the Application Level Protocol of each Socks connection.

These three internal table are detailed in Figure 6.

25           *Sub A4* > Socks ALP Configuration Table  
~~Socks Alp Configuration Table~~

30           The Socks ALP Configuration table **(601)** (a flat file in a preferred embodiment) is created by the Network Administrator in charge of the Intranet. This table associates each Application Level Protocol with a traffic priority and an indication concerning the discard eligibility of the traffic. The table contains a list of records **(602)**, each record comprising the following information:

(603) Application\_Level\_Protocol (also referred to as ALP). There is one value for each Application Level protocol. Typically, a record is defined for each of the main WWW protocols including Gopher, HTTP, FTP, Telnet, SSL (this is Secure HTTP).

(604) Socks\_Traffic\_Priority (also referred to as STP). This is the priority of IP datagrams associated with the Application Level Protocol (603). For instance, FTP is generally associated with a minimum priority, while HTTP is generally associated with a maximum priority.

(605) Discard\_Eligible. This is an indication for discarding IP datagrams comprising socks traffic. This information associated with the Application\_Level\_Protocol (603) indicates whether IP datagrams can be discarded or not in case of congestion. The possible values are "Yes" and "No". In heavy congestion conditions, IP datagram with Discard\_Eligible = "Yes" is discarded first. IP datagram with Discard\_Eligible = "No" may also be discarded if required. Typically, FTP is defined with Discard\_Eligible = "Yes" and HTTP is defined with Discard\_Eligible = "No". Thus the FTP traffic is discarded first in case of congestion.

The Socks ALP Configuration table also comprises one default record with default values (Socks\_Traffic\_Priority and Discard\_Eligible default values). These default values are used when the ALP value is not explicitly defined in a record of the table.

Sub  
A5

~~Socks Server ALP Configuration~~  
~~Socks Server Alp Configuration~~

The Socks Server ALP Configuration (606) (a flat file in a preferred embodiment) is created by the Network Administrator in charge of the Intranet. This table comprises for each Socks Server (607), the capacity of the Sock Server and a list of the Application Level protocols that the Socks Server can process. Each record (607) comprises the following information:

(608) **Socks\_Server\_Identifier**. This is the address of the Socks. This is for instance the IP Address or the MAC (Medium Access Control) address of the Socks Server.

(609) **Socks Server Capacity**. This is the capacity of the Socks Server. Typically, a very powerful Socks Server has a high capacity. For instance, more Socks traffic can be dispatched on a Socks Server with a Capacity of 15 than on a Sock Server with a Capacity of 0. High priority Socks traffic is preferably dispatched on high capacity Socks Servers.

(610) **Socks\_Server\_Application\_Level\_Protocol\_List** (also referred to as Socks\_Server\_ALP\_List). This is a list of the Application Level protocols that can be handled by the Socks Server. For instance, a Socks Server with a low capacity can be configured to only handle FTP, while a more powerful Socks Server can be configured to handle all Application Level protocols. A default value "ALL" indicates that the Socks Server is capable of handling any Application Level protocol.

## Socks LP Connection Table

5 Sub #6 The Socks ALP Connection table (611) is an internal table built and used by the Socks ALP Traffic Analyzer component to store the originator and the Application Level Protocol of each Socks connection. The table contains a list of records (612), each record providing the following information:

10 (613) Cx\_Source\_IP\_Address. This is the IP address of the system which originates the Socks connection (this system is called "originator").

15 (614) Cx\_Source\_Port. This is the number of the Port identifying the program running on the originator system and which originates the Socks connection. The combination of Cx\_Source\_IP\_Address and Cx\_Source\_Port identifies in a unique way the origin of a Socks connection.

20 (615) Cx\_ALP. This is the Application Level Protocol transported by the Socks connection originated from the origin program uniquely identified by Cx\_Source\_IP Address (613) and Cx\_Source\_Port (614).

## 25 Socks Alp Traffic Analyzer

30 The Socks ALP Traffic Analyzer component of the Socks ALP Dispatcher is a program running on the Dispatcher System. This component is in charge of determining the Application Level protocol of IP Datagrams received by the Dispatcher System. Figure 7 is a flow chart which refers to the internal logic of the Socks ALP Traffic Analyzer component. This component:

(701) retrieves an IP Datagram.

(702) tests whether the IP Datagram transports Socks traffic or not. The test preferably uses the Destination Port field of the TCP Header comprised in the Data part of the IP Datagram. The Destination Port is compared to the Port that uses the Socks protocol (by default, the Port used by Socks is 1080). For instance, the Port used by the Socks protocol can be a configuration parameter of the Socks ALP Dispatcher. If the Destination Port in the TCP Header is equal to the Port used by Socks, then the IP Datagram transports Socks traffic, otherwise the IP Datagram does not transports Socks traffic and does not need to be processed by the Socks ALP Dispatcher.

If the IP Datagram does not transport Socks traffic:

(703) the Socks ALP Dispatcher is not involved in the dispatching of non Socks traffic. The IP Datagram is directly forwarded to the Forwarder component of the Dispatcher System.

(704) The processing by the Socks ALP Dispatcher of the IP Datagram is completed. The Socks ALP Dispatcher waits for the next IP Datagram.

If the IP Datagram does transport Socks traffic:

(705) identifies the originator of the IP Datagram from the IP Header and TCP Header:

- Datagram\_Source\_IP\_Address = Source IP Address field (in IP Header).
- Datagram\_Source\_Port = Source Port field (in

TCP Header)

(706) checks if the IP Datagram is a Socks CONNECT message. A Socks CONNECT message is identified by the CD field in the Application Level message (CD = 1).

If the IP Datagram is a Socks CONNECT message:

(707) determines from the IP Datagram (in the Destport field of the Socks CONNECT message), the Application Level protocol (ALP) transported by the Socks connection:

- Datagram\_ALP = Destport field (in Socks CONNECT message)

(708) saves in a new record within the Socks ALP Connection table (709) the information retrieved from the Socks CONNECT message:

Cx\_Source\_IP\_Address = Datagram\_Source\_IP\_Address  
Cx\_Source\_Port = Datagram\_Source\_Port  
Cx\_ALP = Datagram\_ALP

(710) calls the Socks Priority Finder component

If the IP Datagram is a not a Socks CONNECT message:

The IP Datagram therefore belongs to a Socks connection which is already established. This means that a CONNECT message has already been received and the corresponding record has been created in the Socks ALP Connection table. The Application Level Protocol (ALP) for that IP Datagram is then retrieved:

(711) gets all records from the Socks ALP Connection table.

(712) finds the record which corresponds to the Socks connection. This record is identified by (both conditions):

Cx\_Source\_IP\_Address = Datagram\_Source\_IP\_Address  
Cx\_Source\_Port = Datagram\_Source\_Port

(713) gets the Application Level protocol (ALP) of the IP Datagram from that record:

Datagram\_ALP = Cx\_ALP

(714) removes from the Socks ALP Connection table (709) records of closed Socks connections (terminated connections). Closed Socks connections are detected for instance using the FIN and ACK indications in the TCP Header. Optionally, a Socks connection is considered closed after a certain period of time without IP Datagram on that Socks connection (this timer value can be for instance a configuration parameter of the Socks ALP Dispatcher). Any other existing algorithm to detect closed or half closed (for instance when one extremity of the connection has abnormally terminated) TCP connections can also be used to remove such connections from the table.

(710) calls the Socks Priority Finder component.

#### **Socks Priority Finder**

The Socks Priority Finder component of the Socks ALP



Dispatcher is a program running on the Dispatcher System. This component determines the priority of the received IP Datagram, based on its Application Level protocol and based on the Socks ALP Configuration table. The **Figure 8** is a flow chart which refers to the internal logic of the Socks Priority Finder component. This component:

(801) gets the IP Datagram forwarded by the Socks ALP Traffic Analyzer component (along with its Datagram\_ALP).

(802) retrieves all records from the Socks ALP Configuration table (803). The table is preferably read only once for all and cached by the Socks Priority Finder at configuration time, in order to minimize the impact on performances.

(804) finds the record corresponding to the Application Level protocol (ALP) of the IP Datagram. This is the record with:

Application\_Level\_Protocol = Datagram\_ALP

(805) determines from that record the priority of the IP Datagram:

Datagram\_Priority = Socks\_Traffic\_Priority

(806) calls the Socks ALP Congestion Control component.

### **Socks Alp Congestion Control**

The Socks ALP Congestion Control component of the

Socks ALP Dispatcher is a program running on the Dispatcher System. When the Dispatcher System is congested, this component determines the list of IP Datagrams that are not yet sent and which must be discarded, according to multiple criteria:

The Application Level Protocol of the IP Datagram, as determined by the Socks ALP Traffic analyzer component.

The Priority of the IP Datagram, as determined by the Socks Priority Finder component.

**Figure 9** is a flow chart which refers to the internal logic of the Socks ALP Congestion Control component. This component:

(901) gets the IP Datagram forwarded by the Socks ALP Traffic Analyzer component (along with its Datagram\_ALP which has been determined by the Socks ALP Traffic Analyzer component, and the Datagram\_Priority which has been determined by the Socks Priority Finder component).

(902) retrieves all records from the Socks ALP Configuration table (903). This table is preferably read only once for all and cached by the Socks ALP Congestion Control program when it starts, in order to minimize any impact on performances.

(904) scans all records of the Socks ALP Configuration table to find all the records with Discard\_Eligible = "Yes". This list (called List\_ALP\_D)

comprises the Application Level Protocols which must be discarded first.

5 (905) determines whether the Dispatcher System is congested or not. This can be done for instance by interfacing the Forwarder component and by checking whether the output queues are full or not.

If there is no congestion:

10 (906) Checks whether the IP Datagram received by the Socks ALP Congestion Control has been discarded or not:

15 (908) if the IP Datagram has not been discarded, forwards the IP Datagram to the Socks Server ALP Selection component.

20 (907) if the IP Datagram has been discarded, exits the Socks ALP Dispatcher and waits for the next IP Datagram to process.

If there is a congestion:

25 (909) gets the Datagram\_Priority and the Datagram\_ALP of the IP Datagram received by the Socks ALP Congestion Control.

30 (910) gets the Datagram\_Priority and the Datagram\_ALP of all IP Datagrams which have not yet been sent. For instance, all such IP Datagrams can be found by interfacing the Forwarder component and by checking all output queues. The Datagram\_Priority and the Datagram\_ALP of each of these IP Datagrams is preferably stored by the

Forwarder along with the IP Datagram, and can then be directly retrieved. If the Datagram\_Priority and the Datagram\_ALP of each of these IP Datagrams has not been stored, it can be determined using the Socks Traffic Configuration table as already done by the Socks ALP Traffic Analyzer and the Socks Priority Finder components.

(911) select the list (ListD) of all IP Datagrams with an Application Level Protocol which should be discarded first and with the lowest priority. Such IP Datagram must satisfy both conditions:

- Datagram\_ALP is contained in List\_ALP\_D
- lowest Datagram\_Priority.

(912) tests if ListD is empty.

If ListD is not empty:

(914) discards all IP Datagrams in ListD. IP Datagrams which are not in ListD are not discarded and the Socks ALP Congestion Control component will attempt to send them. For instance,

Gopher protocol can be defined in the Socks ALP Configuration table with the lowest priority and Discard\_Eligible = "Yes",

FTP protocol traffic can be defined with the lowest priority and Discard\_Eligible = "No",

Interactive Telnet traffic can be defined with the

highest priority and with Discard\_Eligible = "Yes",

HTTP traffic can be defined with the highest priority and with Discard\_Eligible = "No".

5 This way, in case of congestion, the Gopher traffic will be discarded first, then Telnet, and FTP. The last one to be discarded (if still required) will be HTTP. Thus, the HTTP service availability will be higher than the Gopher, Telnet, and FTP service availability.

10 After ListD has been discarded, the Socks ALP Congestion Control loops to check if there is still a situation of congestion (905). The selection and discard steps are repeated until there is no more congestion.

If ListD is empty:

15 (913) discards IP Datagrams with the lowest Datagram\_Priority. The IP Datagrams with the highest priorities can be sent. The Socks ALP Congestion Control loops to check if there is still a congestion situation (905). The selection and discard steps are repeated until there is no more congestion condition.

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25 Sub A7 > Socks Server ALP Selection  
~~Socks Server ALP Selection~~

30 The Socks Server ALP Selection component of the Socks ALP Dispatcher is a program running on the Dispatcher System. This component selects a Socks Server among the plurality of Socks Servers to forward the IP Datagram. The Socks Server is selected according to multiple criteria:

- The Application Level Protocol of the IP Datagram, as determined by the Socks ALP Traffic Analyzer component,
- The Priority of the IP Datagram, as determined by the Socks Priority Finder component.

Some Socks Server characteristics:

- The Socks Server Capacity,
- The List of Application Level Protocols that can handle the Socks Server.

The criteria used by the Socks Server ALP Selection component are fully compatible and can co-exist with other existing criteria such as Socks Server dynamic load. Such other selection criteria can be added to the criteria used by the present invention.

**Figure 10** is a flow chart which refers to the internal logic of the Socks Server ALP Selection component. This component:

(1001) retrieves an IP Datagram forwarded by the Socks ALP Congestion Control component.

(1002) retrieves all records from the Socks Server ALP Configuration table (1009). The table is preferably read only once for all and cached by the Socks Server ALP Selection program when it starts, in order to minimize any impact on performances.

(1003) scans all the records (Socks Servers) in the Socks Server ALP Configuration table to find all the Socks Servers capable of handling the IP Datagram (and

which are then candidate for the Socks Server selection).  
This Socks Servers list (called List1) is such that the  
Socks Server ALP List of each Socks Server of the list  
comprises the Datagram\_ALP (the Application Level  
5 Protocol of the received IP Datagram, as determined by  
the Socks ALP Traffic Analyzer).

(1004) Tests the number of records in List1:

10 If no record is found, this means that no Socks  
Server has been defined for that traffic, and therefore  
the IP Datagram is discarded and the Socks ALP Dispatcher  
exits.

15 If List1 contains one record, the Socks Server  
defined for this record is selected. The Socks Server  
Identifier is retrieved from this record.

20 (1008) forwards the IP Datagram to the Forwarder  
component, with the Socks Server Identifier and the  
Datagram\_Priority. The Forwarder then sends the IP  
Datagram to the selected Socks Server. The Socks ALP  
Dispatcher exits and waits for the next IP Datagram.

25 If List1 contains more than one record (Socks  
Server), this means that more than one Socks Server can  
handle the IP Datagram. Additional selection criteria  
must then be used:

30 (1005) retrieves from List1 all records (Socks  
Servers) with a specific capacity (609). This specific  
capacity is determined using the Datagram\_Priority  
(priority of the IP Datagram as determined by the Socks  
Priority Finder component). This second list of records

(Socks Servers) is called List2. The relationship between capacity and priority can be defined for instance in a table accessed by the Socks Server ALP Selection component. For instance, an IP Datagram with a high  
5 Datagram\_Priority will be forwarded to a high capacity Socks Server in order optimize the service provided to high priority Socks traffic.

(1006) Tests the number of records in List2:

10 If List2 contains one record, the Socks Server defined for this record is selected. The Socks\_Server\_Identifier is extracted from this record.

15 (1008) forwards the IP Datagram to the Forwarder component, with the Socks Server Identifier. The Forwarder then sends the IP Datagram to the selected Socks Server. The Socks ALP Dispatcher exits and waits for the next IP Datagram.

20 If List2 contains more than one record, this means that more than one Socks Server can handle the IP Datagram. Final selection criteria must then be used:

25 (1007) selects one record in List2. This record is arbitrarily selected or is selected using any simple round robin algorithm (one record is selected this time, and next time a different one will be selected).

30 The Socks Server defined for this record is selected. The Socks\_Server\_Identifier is extracted from this record.

(1008) forwards the IP Datagram to the Forwarder



component, with the Socks\_Server\_Identifier and the Datagram\_Priority. The Forwarder then sends the IP Datagram to the selected Socks Server. The Socks ALP Dispatcher exits and waits for the next IP Datagram.

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### **Advantages**

The present inventions provides the following advantages:

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- The integration of a priority criteria for dispatching Socks traffic optimizes the Web service performances. For instance, a high priority Socks traffic (for instance HTTP traffic) can be dispatched to a Socks Server having a high capacity while a low priority Socks traffic can be dispatched to a Socks Server having a low capacity.

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- The integration of an Application Level protocol criteria for dispatching Socks traffic allows the use of differently configured Socks Servers (for capacity reason). For instance, a Socks Server with a high capacity can be configured to process all types of Application Level protocols, while a Socks Server with a poor capacity can be configured to process only FTP. Socks traffic can then be dispatched to either one or another Socks Server according to the Application Level protocol.

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- The integration of an Application Level protocol criteria for dispatching Socks traffic optimizes the Web service performances. For instance, Socks traffic comprising HTTP traffic can be dispatched to a high capacity Socks Server while Socks traffic comprising

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batch FTP traffic can be dispatched to a low capacity Socks Server.

5       - Since the dispatching process does not use the Type Of Service field of the IP Datagram Header, the invention can be used without being dependent of existing workstations or network devices within the Intranet. For instance, the invention can be used to provide optimized Socks dispatching within an existing Intranet where the  
10       TOS field cannot be set nor used.

15       - Since the dispatching process does not use the TOS field, the invention does not presume the possible values of the TOS field set by the workstation or network device within the Intranet.

20       - The Integration of a priority criteria for discarding Socks traffic in case of congestion contributes to the Web service availability. A low priority Socks traffic can be discarded by a congested Dispatcher System. The high priority Socks traffic can then be normally dispatched instead of being arbitrarily discarded. This process ensures a service continuity to  
25       this high priority traffic.

30       - The Integration of an Application Level protocol criteria for discarding Socks traffic in case of congestion contributes to the Web service availability. For instance, a low priority Socks traffic comprising batch FTP traffic can be discarded by a congested  
35       Dispatcher System. Interactive Socks traffic such as Telnet can then be normally dispatched instead of being arbitrarily discarded. This process ensures a service continuity to this Telnet traffic.